

First Trust NASDAQ® Clean Edge® Smart Grid Infrastructure Index Fund

Morningstar® Category Index
MSCI ACWI NR USD

Fund Benchmark
NASDAQ OMX ClnEdge
SmartGridInfra TR USD

Morningstar Rating™
—

Morningstar® Category
Miscellaneous Sector

IMA Sector
-

Used throughout report

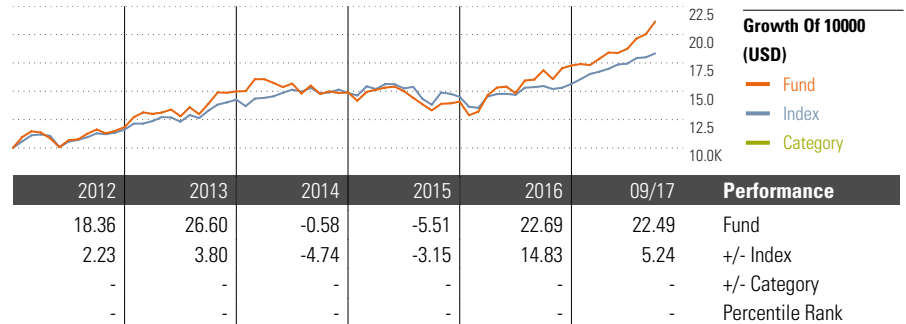
Investment Objective

The investment seeks investment results that correspond generally to the price and yield (before the fund's fees and expenses) of an equity index called the NASDAQ OMX(R) Clean Edge(R) Smart Grid Infrastructure Index(SM). The fund will normally invest at least 90% of its net assets (including investment borrowings) in common stocks or in depository receipts representing securities in the index. The index includes companies that are primarily engaged and involved in electric grid, electric meters and devices,...

Standardized Performance

1 Yr to	1 Yr to	1 Yr to	1 Yr to	1 Yr to
30 Sep 13	30 Sep 14	30 Sep 15	30 Sep 16	30 Sep 17
19.47	6.30	-9.84	26.53	25.57

12 Months Total Return (bid to bid). Source: Morningstar, net income reinvested. This table complies with the FCA's regulations to compare different products from different providers.



Trailing Returns

	Return %	+/-Idx	+/-Cat
(17 Oct 2017)			
3 Months	11.51	6.66	-
6 Months	24.65	12.84	-
1 Year	31.47	8.33	-
3 Years Annualized	16.39	6.60	-
5 Years Annualized	12.83	2.71	-

Quarterly Returns

	1st qtr	2nd qtr	3rd qtr	4th qtr
2017	3.45	4.92	12.85	-
2016	4.07	1.26	13.56	2.51
2015	1.56	-0.77	-11.32	5.72
2014	7.20	-2.42	-5.79	0.89
2013	9.84	-1.56	8.55	7.86

Portfolio 17 Oct 2017

Asset Allocation	% Long	% Short	% Net
Stocks	99.69	0.00	99.69
Bonds	0.00	0.00	0.00
Cash	0.31	0.00	0.31
Other	0.00	0.00	0.00

Equity Style Box™

Style	Size	% Equity
Value	Large	27.19
Blend	Large	13.64
Growth	Medium	16.01
	Small	21.39
	Micro	21.77
Avg Mkt Cap		6247 USD

Risk Profile

Risk Measures

Metric	Value	Metric	Value
3-Yr Alpha	2.40	3-Yr Sharpe Ratio	0.92
3-Yr Beta	0.98	3-Yr Std Dev	13.69
R-Squared	53.07	3-Yr Risk	-
Information Ratio	0.21	5-Yr Risk	-
Tracking Error	9.36	10-Yr Risk	-

Calculations use MSCI ACWI NR USD (where applicable)

Top 10 Holdings

Company	Sector	% Port.
ABB Ltd	⚙️	7.96
Prisma SpA	🏠	7.92
Schneider Electric SE	⚙️	7.89
Quanta Services Inc	⚙️	7.68
Red Elctrica Corporacion SA	💡	6.97
General Cable Corp	⚙️	4.87
Silver Spring Networks Inc	🏠	4.65
Advanced Energy Industries Inc	🏠	4.48
Control4 Corp	🏠	4.43
SolarEdge Technologies Inc	🏠	4.23
Total Stock Holdings		36
Total Bond Holdings		0
Assets in Top 10 Holdings %		61.07

Sector Weightings

Sector	% Equity
Cyclical	0.00
Basic Materials	-
Consumer Cyclical	-
Financial Services	-
Real Estate	-
Sensitive	88.23
Communication Services	-
Energy	-
Industrials	43.53
Technology	44.70
Defensive	11.77
Consumer Defensive	-
Healthcare	-
Utilities	11.77

World Regions

Region	% Equity
Americas	51.44
United States	50.32
Canada	1.12
Latin America	0.00
Greater Europe	40.16
United Kingdom	1.79
Eurozone	30.38
Europe - ex Euro	7.99
Europe - Emerging	0.00
Middle East / Africa	0.00
Greater Asia	8.41
Japan	6.78
Australasia	0.00
Asia - Developed	0.00
Asia - Emerging	1.63

Operations

Fund Company	First Trust	Fund Size (mil)	27.54 USD	Minimum Initial Purchase	-
Phone	+1 8006211675	Share Class Size (mil)	27.53 USD	Minimum Additional Purchase	-
Website	http://www.ftportfolio...	Domicile	United States	Annual Management Fee	0.40%
Inception Date	16 Nov 2009	Currency	US Dollar	Total Expense Ratio	0.70%
Fund Manager	Daniel Lindquist	UCITS	No	(31/Mar/2017)	-
Manager Start Date	16 Nov 2009	Inc/Acc	-	(-)	-
NAV (17 Oct 2017)	50.29 USD	ISIN	US33737A1088	Entry Price	-
		IMA Sector	-	Exit Price	-
		In-house ISA scheme		Exit Price 1 Wk Change	
		12b-1 Fees	0.00		