

Client Relationship Summary Las Olas Capital Partners, LLC June 22, 2020

Las Olas Capital Partners, LLC is registered with the Securities and Exchange Commission and FINRA as a broker-dealer and is a member of SIPC. We are affiliated with a SEC registered investment adviser, Las Olas Capital Advisers, LLC, which has prepared a separate Client Relationship Summary that may be found at www.lasolascap.com. Brokerage and investment advisory services and fees differ and it is important for you to understand the differences.

This document is a summary of the services and fees we offer to retail investors which are natural persons who seeks or receives securities services primarily for personal, family, or household purposes. Additionally, free and simple tools are available to research firms and financial professionals at Investor.gov/CRS which also provides educational materials about broker-dealers, investment advisers, and investing and brokercheck.finra.org which provides pertinent facts including disciplinary history about member firms and individual registered representatives.

What investment services and advice can you provide me?

We offer brokerage services to *retail investors* that are limited to private placements of securities. We do not offer any other types of securities or traditional brokerage services.

If you open an investment account with our firm, as part of our standard service we will not monitor your investments on a regular basis.

For parameters of the services, accounts and investments listed above, please refer to disclosures in the private placement memorandum memorandum for a specific offering.

Key Questions to Ask Your Financial Professional

- ✓ **Given my financial situation, should I choose a brokerage service? Why or Why Not?**
- ✓ **How will you choose investments to recommend to me?**
- ✓ **What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?**

What fees will I pay?

Las Olas Capital Partners, LLC may charge a commission fees to its retail customers as described in the Investor Agreement. Additionally, each private placement offering has a multitude of fees and expenses associated with investments you make which are described in the private placement memorandum's use of proceeds. If we do not charge a commission through an Investor Agreement, we may be paid a placement agent fee from the issuer based on the assets we place with the issuer of the offering. In either scenario, we have an incentive to increase the amount of funds you invest in an offering which creates a conflict of interest.

We may also receive part of the management fee and carried interest pursuant to our agreement with the issuer as disclosed in the private placement memorandum.

In addition to the issuer's principal fees and costs and the commissions charged under the Investor Agreement, there are no other fees allocated to you related to our brokerage services.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

For detailed information regarding our placement agent fees or commissions, refer to the investor agreement and disclosures on in the private placement memorandum.

Key Questions to Ask Your Financial Professional

- ✓ **Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?**

What are your legal obligations to me when providing recommendations? How else does your firm make money and what conflicts of interest do you have?

When we provide you with a recommendation, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the recommendations we provide you. Here are some examples to help you understand what this means.

- ***Proprietary Products:*** We serve as the general partner, manager or member or are affiliated with one or more private funds (private pooled investment vehicles) in which you may be solicited to invest. Our Company, certain members of its management, and other knowledgeable employees may acquire, directly or indirectly, investment interests in our fund or have other financial interests (e.g. General Partner, Officers, Board Members, etc.) in the funds. This presents a conflict of interest because we have investments and/or are compensated by the private funds.
- ***Third-Party Payments:*** Our financial professionals will earn compensation in their separate capacities as registered representatives and investment advisory representatives at our SEC registered affiliate, Las Olas Capital Advisors, LLC in connection with investment recommendations made to you.

For additional information on our conflicts of interest, please see the disclosures in Las Olas Capital Advisors, LLC, our SEC Investment Advisory affiliates Form [ADV Part 2A Brochure](#).

Key Question to Ask Your Financial Professional

- ✓ **How might your conflicts of interest affect me, and how will you address them?**

How do our financial professionals make money?

The financial professional servicing your account(s) are compensated based on salary and commissions earned on assets raised in private offerings. They may also receive non-cash compensation in the form of equity in the issuer.

Do you or your financial professionals have legal or disciplinary history?

Yes. Disclosures for our firm or our financial professionals can be found by going to Investor.gov/CRS.

Key Questions to Ask Your Financial Professional

- ✓ **As a financial professional, do you have any disciplinary history? For what type of conduct?**

You can find additional information about our brokerage services and request a copy of the relationship summary at (945) 289-4690.

Key Questions to Ask Your Financial Professional

- ✓ **Who is my primary contact person?**
- ✓ **Is he or she a representative of an investment adviser or a broker-dealer?**
- ✓ **Who can I talk to if I have concerns about how this person is treating me?**